

**REQUEST FOR CHANGE REPORT
NOVEMBER 2007**

Solicitation Number	Prime Contractor	Project Description	Add/Delete/Scope Change/Substitution-A(Add) or D(Delete)	Subcontractor	Certified Y/N	Increase or Decrease to Current Contract	Like-Kind Substitution	Like-Kind Availability	Subcontract Amount	Scope of Work	Managing Department	Project Manager	GFE Required? Y/N	Approved Y/N	Notes
1	PWC0082	MATOUS CONSTRUCTION	AWU-ULLRICH WTP EXPANSION CONTRACT	Add	OVERHEAD DOOR CO. OF AUSTIN	N	NA	NA	\$ 27,770.00	Metal Doors	PW	JAY ULARY	Y	Y	GFE documentation was provided. No bids received from MBE/WBE firms.
2	PWC0082	MATOUS CONSTRUCTION	AWU-ULLRICH WTP EXPANSION CONTRACT	Add	AIRGAS SPECIALTY PRODUCTS	N	NA	NA	\$ 32,572.78	Amonia Storage Tanks	PW	JAY ULARY	N	Y	No MBE/WBE availability.
3	PWC0070A	SOUTHWEST CONSTRUCTORS	AUSTIN TENNIS CENTER-REBID	Delete	CC CARLTON	N	NA	NA	\$ 121,270.00	Utilities	PW	CRAIG RUSSEL	Y	Y	Subcontractor withdrew bid.
4	PWC007A	SOUTHWEST CONSTRUCTORS	AUSTIN TENNIS CENTER-REBID	Add	JR SCHNEIDER CONSTRUCTION	N	NA	NA	\$ 760,000.00	Utilities-SiteWork-Excavation	PW	CRAIG RUSSEL	Y	Y	GFE documentation was provided. No bids received from MBE/WBE firms.
5	PWC0038	JAMAIL & SMITH CONSTRUCTION	NORTH VILLAGE BRANCH Library	Add	WOOSTER PRODUCTS,INC	N	NA	NA	\$ 466.00	Stair nosings material	PW	CYNTHIA JORDAN	N	Y	No MBE/WBE availability.
6a	PWC0093	PORT ENTERPRISES LTD	AUSTIN CONVENTION CENTER	Substitution-D	COMFORT AIR	N	NA	Y	\$ 397,000.00	Mechanical	PW	ETUK INYANG	Y	Y	Contractor needed a local contractor that was readily accessible.
6b	PWC0093	PORT ENTERPRISES LTD	AUSTIN CONVENTION CENTER	Substitution-A	HARKINS COMPANY	N	NA	Y	\$ 460,000.00	Mechanical	PW	ETUK INYANG	N	Y	No MBE/WBE availability.
7	PWC0093	PORT ENTERPRISES LTD	AUSTIN CONVENTION CENTER ROOF REPLACEMENT	Add	BETCO SCAFFOLD	N	NA	NA	\$ 23,300.00	Scaffold Erection	PW	ETUK INYANG	Y	Y	No change to the compliance plan just adding subcontractor, Prime did provide GFE.
8a	PWC0038	JAMAIL & SMITH CONSTRUCTION	NORTH VILLAGE BRANCH Library	Substitution-D	PORTER AUSTIN	N	NA	Y	\$ 156,715.00	Roofing	PW	CYNTHIA JORDAN	Y	Y	Insurance requirement issue.
8b	PWC0038	JAMAIL & SMITH CONSTRUCTION	NORTH VILLAGE BRANCH Library	Substitution-A	BARKER ROOFING	N	NA	Y	\$ 175,958.00	Roofing	PW	CYNTHIA JORDAN	Y	Y	GFE documentation was provided. No bids received from MBE/WBE firms.
9	PWC0038	JAMAIL & SMITH CONSTRUCTION	NORTH VILLAGE BRANCH Library	Add	CHAMPION SITE PREPARATION INC	N	NA	NA	\$ 17,500.00	Asphalt Paving	PW	CYNTHIA JORDAN	Y	Y	GFE documentation was provided. No bids received from MBE/WBE firms.
10	PWC0038	JAMAIL & SMITH CONSTRUCTION	NORTH VILLAGE BRANCH Library	Add	CROSSROADS SPECIALTIES	N	NA	NA	\$ 4,500.00	Toilet Accs., Fire Ext., and Projection Screens	PW	CYNTHIA JORDAN	Y	Y	GFE documentation was provided. No bids received from MBE/WBE firms.
11	PW02300006	CAROLLO ENGINEERS	TRAVIS WATER TREATMENT PLANT	Add	JOHN HALL PUBLIC AFFAIRS	Y	NA	NA	0.034%	Environmental Consulting	PW	TINA VAN WIE	N	Y	Adding certified firm.
12	PW06300020	SMITH + MORTON & MACKAY ARCHITECTURE	GENERAL ARCHITECTURAL SERVICES ROTATION LIST (2007-2009)	Add	ACCUPLAN CODE CONSULTING	Y	NA	NA	\$ 2,950.00	Architectural Services	PW	ETUK INYANG	N	Y	Adding certified firm.
13	PW06300020	SMITH + MORTON & MACKAY ARCHITECTURE	GENERAL ARCHITECTURAL SERVICES ROTATION LIST (2007-2009)	Add	KWR ENGINEERING SERVICES	Y	NA	NA	\$ 18,450.00	Building Commissioning	PW	ETUK INYANG	N	Y	Adding certified firm.
14a	PW06300020	SMITH + MORTON & MACKAY ARCHITECTURE	GENERAL ARCHITECTURAL SERVICES ROTATION LIST (2007-2009)	Substitution-D	MONARCH DESIGN/CONSULTING	Y	NA	Y	\$ 21,500.00	LEED Consultant	PW	ETUK INYANG	N	Y	Firm requested release from the contract.
14b	PW06300020	SMITH + MORTON & MACKAY ARCHITECTURE	GENERAL ARCHITECTURAL SERVICES ROTATION LIST (2007-2009)	Substitution-A	BALANCE-WHEEL	Y	NA	Y	\$ 15,000.00	LEED Consultant	PW	ETUK INYANG	N	Y	Like-kind substitution.
15a	PW04300029	M&M ENGINEERING	DECKER UNIT 2 FALL 2007	Substitution-D	CILUARO LAMBERT, LLC	N	NA	Y	\$ 22,998.00	Non Destructive testing of turbines.	PW	GARRY BOCIAN	N	Y	Unable to perform testing on turbines.
15b	PW04300029	M & M ENGINEERING	IDENTIFICATION AND ANALYSIS OF FLAWS IN POWER PLANT COMPONENTS:	Substitution-A	5 STAR TESTING	N	NA	Y	\$ 22,998.00	Non Destructive testing of turbines.	PW	GARRY BOCIAN	N	Y	No MBE/WBE availability.
16a	DC06300005	DAVEY TREE SURGERY COMPANY	DISTRIBUTION UTILITY LINE CLEARANCE	Substitution-D	LYNX SYSTEMS	Y	NA	Y	\$ 57,000.00	Tree Trimming	AE	STEVE BRAND	N	Y	Subcontractor could not provide worker's compensation insurance.
16b	DC06300005	DAVEY TREE SURGERY COMPANY	DISTRIBUTION UTILITY LINE CLEARANCE	Substitution-A	AVERY'S LAWN CARE & LANDSCAPING, INC.	Y	Increase	Y	\$ 104,500.00	Tree Trimming	AE	STEVE BRAND	N	Y	Increased current contract to add tree trimming services. Like-Kind substitution.
17	PWC0038	JAMAIL & SMITH CONSTRUCTION	NORTH VILLAGE BRANCH Library	Add	SIGN RESOURCE MANAGEMENT	Y	NA	NA	\$ 19,000.00	Interior signage	PW	CYNTHIA JORDAN	N	Y	Adding certified firm.
18	PWC0038	JAMAIL & SMITH CONSTRUCTION	NORTH VILLAGE BRANCH Library	Add	MATS, INC	N	NA	NA	\$ 542.00	Walk-off grill	PW	CYNTHIA JORDAN	N	Y	No MBE/WBE availability.

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19	PW02300014	BINKLEY & BARFIELD, INC	SANITARY SEWER REPLAC. & REHAB ACWP 2002-2007	Add	HEJL LEE & ASSOCIATES	Y	NA	NA	NA	\$ 5,415.00	Civil Engineering	PW	SHARON HAMILTON	N	Y	Adding certified firm.
20	PW02300014	BINKLEY & BARFIELD, INC	SANITARY SEWER REPLAC. & REHAB ACWP 2002-2007	Add	HILL COUNTRY ENVIRONMENTAL INC	Y	NA	NA	NA	\$ 515.00	Enviro Site Assessment	PW	SHARON HAMILTON	N	Y	Adding certified firm.
21	PW06200017A	AUSTIN FILTER SYSTEM	REBID-UPPER WALNUT CREEK REGIONAL DETENTION	Scope Change	LONESTAR READY MIX	Y	Increase	NA	NA	\$ 2,145.00	Additional cubic yards of concrete for splitter box	PW	CHRISTINA CALVERY	Y	Y	Changes are due to changes in the contracts quantities.
22	PW06200017A	AUSTIN FILTER SYSTEM	REBID-UPPER WALNUT CREEK REGIONAL DETENTION	Scope Change	TEXAS CRUSHED STONE CO	N	Decrease	NA	NA	\$ (2,194.50)	Bedding Materials	PW	CHRISTINA CALVERY	Y	Y	Small portion of work reduced due to change in bedding material which resulted in a credit item for a Change Order for the City of Austin.
23	PW06200017A	AUSTIN FILTER SYSTEM	REBID-UPPER WALNUT CREEK REGIONAL DETENTION	Scope Change	BMW TRUCKING	Y	Decrease	NA	NA	\$ (2,194.50)	Hauling	PW	CHRISTINA CALVERY	Y	Y	Small portion of work reduced due to change in bedding material which resulted in a credit item for a Change Order for the City of Austin.
24	PWC0046	AUSTIN UNDERGROUND INC	ACWP EAST 11TH STREET ALLEY	Add	ASPHALT REPAIR COMPANY	N	NA	NA	NA	\$ 48,575.00	Asphalt trench repair	PW	Brenda Baker	N	Y	Exceeding MBE/WBE goals.
25	PW02300014	BINKLEY & BARFIELD, INC	SANITARY SEWER REPLAC. & REHAB ACWP 2002-2007	Add	KURJIAN ENG.	Y	NA	NA	NA	\$ 10,390.00	Traffic & Transportation	PW	SHARON HAMILTON	N	Y	Adding certified firm.
26	PWC0078	KEYSTONE CONSTRUCTION	ACWP-UPPER TANNEHILL INTERCEPTOR	Add	JA ROAD BORING	Y	NA	NA	NA	\$ 69,018.00	Boring for Road Crossing	PW	CHELSEA SOLOMOM	N	Y	Adding certified firm.
27	PWC0078	KEYSTONE CONSTRUCTION	ACWP-UPPER TANNEHILL INTERCEPTOR	Add	INDUSTRIAL ASPHALT	Y	NA	NA	NA	\$ 29,605.50	Asphalt Paving	PW	CHELSEA SOLOMOM	N	Y	Adding certified firm.
28	PWC0105A	TEXAS STEARLING CONSTRUCTION	CESAR CHAVEZ TWO WAY CONVERSION-BRAZOS TO SAN ANTONIO REBID	Add	AUSTEX TREE SERVICE INC	Y	NA	NA	NA	\$ 12,455.00	Landscaping, Tree Pruning, Tree Removal, and Tree Fertilization	PW	RICK COLBRUNN	N	Y	Adding DBE certified firm.
29	PWC0065	PEABODY GENERAL CONTRACTORS	ACWP-UPPER WALLER SSO ABATEMENT	Add	A-1 CORING INC	N	NA	NA	NA	\$ 5,000.00	Concrete Coring	PW	CHARLES MUELA	Y	Y	No certified vendors listed for coring.
30	PWC0065	PEABODY GENERAL CONTRACTORS	ACWP-UPPER WALLER SSO ABATEMENT	Add	CUSTOM CRETE	N	NA	NA	NA	\$ 10,000.00	Concrete Material	PW	CHARLES MUELA	Y	Y	GFE documentation was provided. Only one certified firm available and decided not to bid project.
31	PWC0038	JAMAIL & SMITH CONSTRUCTION	NORTH VILLAGE BRANCH Library	Add	AUTOMATIC FIRE PROTECTION	N	NA	NA	NA	\$ 7,100.98	Fire protection and sprinkler system	PW	CYNTHIA JORDAN	Y	Y	ONE FIRM JAMAIL SMITH BUT NO RESPONSE
32a	PW06300003	BAKER & AICKLEN	GEN. CIVIL ENGINEERING SVCS ROTATION LIST 2006-2008	Substitution-D	Lone Star Skateparks, Inc.	N	NA	NA	NA	\$ 16,560.00	Specialized Design of Skateboard Parks	PW	STEVE NELSON	N	Y	COA Parks Department changed design plaza style skate park.
32b	PW06300003	BAKER & AICKLEN	GEN. CIVIL ENGINEERING SVCS ROTATION LIST 2006-2008	Substitution-A	New Line Skateparks, Inc.	N	NA	Y	Y	\$ 39,700.00	Specialized Design of Skateboard Parks	PW	STEVE NELSON	N	Y	NO MBE/WBE AVAILABILITY TO PERFORM SERVICES

Sub-contractors or sub-consultants may be added to a contract for the following reasons

- A There are no MBE or WBE firms certified for the scope of work at the time of the request
- B To increase MBE or WBE participation
- C Change in contract amount
- D Solicitation goals are being met
- E Pricing from Certified firms was not competitive or did not respond to solicitation to submit pricing
- F Specification requirements or prior work with the project
- G Like kind

Substitutions of sub-contractors or sub-consultants shall be permitted only on the following bases

- 1 unavailability after receipt of reasonable notice to proceed
- 2 failure of performance
- 3 financial incapacity

Request for Change Summary for November 2007				
Additions	Deletions	*Substitutions	Scope Changes	Total
22	1	6	3	32
Certified	10	0	2 Like-Kind Substitutions 2 (1-Increase/1- Decrease)	
Non-Certified	12	1	4 (Non for Non-Substitutions) 1 (Decrease)	

Reasons for denying a Request for Change of Compliance Plan

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Good Faith Efforts documentation was not included with request or failed to complete good faith efforts requirements
 Sub-contractor or sub-consultant was added to contract without DSMBRs prior written authorization

4 refusal by the subcontractor or sub-consultant to honor bid or proposal price
 5 mistake of fact or law about the elements of the scope of work of a solicitation where a reasonable price cannot be agreed
 6 failure of the sub-contractor or sub-consultant to meet insurance, licensing or bonding requirements
 7 the subcontractor's or sub-consultant's withdrawal of its bid or proposal